



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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H. Alpar, et al.

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GROUP ART UNIT: 1642

Serial No.: 09/937,066

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EXAMINER: unknown

RECEIVED

Filed: September 20, 2001

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NOV 20 2002

For: POLYCATIONIC CARBOHY-
DRATES AS IMMUNOSTIMU-
LANTS IN VACCINES

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TECH CENTER 1600/2900

November 4, 2002

Attorney Docket No. 41577/263691

I hereby certify that this correspondence is being
mailed with the United States Postal Service
in first class mail in an envelope addressed to
the Assistant Commissioner for Patents, Washington,
D.C. 20231, on November 4, 2002

Dean W. Kinner

Signature

Assistant Commissioner
for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98,
Applicants identify the materials listed below and on the
modified form PTO-1449 accompanying this submission:

NON-U.S. PATENT DOCUMENTS

<u>Number</u>	<u>Country/Region</u>	<u>Date</u>
WO 99/01498	WIPO/PCT	January 14, 1999
WO 98/30207	WIPO/PCT	July 16, 1998
WO 96/05810	WIPO/PCT	February 29, 1996
0571671	Europe (EPO)	December 1, 1993

OTHER MATERIAL

Abstract of Japanese Patent Publication No. JP07118170,
May 9, 1995.

Copies of the listed materials are enclosed. Applicants do not concede that the identified materials, or any of them, constitute prior art within the meaning of the United States patent laws.

Applicants are submitting this paper before receipt of an Office Action concerning the merits of the invention claimed in the application and thus believe no fee is due. See 37 C.F.R. § 1.97(b). However, if Applicants' belief is mistaken, the Assistant Commissioner is authorized to debit deposit account No. 11-0855 for any such fee presently due.

Respectfully submitted,

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